Summons

In the matter of the tax liability of Jaynie M. Baker

Internal Revenue Service (Division): Small Business / Self-Employed - Compliance

Industry/Area (name or number): North Atlantic Area Examination, Manhattan

Periods: tax years ended December 31, 2010, December 31, 2011, December 31, 2012

The Commissioner of Internal Revenue

To: JP Morgan Chase N.A.

At: c/o CT Corporation System - Registered Agent 111 8th Ave, New York, NY 10011

You are hereby summoned and required to appear before Randy Lucero, Internal Revenue Agent #1000278143 or his designee an officer of the Internal Revenue Service, to give testimony and to bring with you and to produce for examination the following books, records, papers, and other data relating to the tax liability or the collection of the tax liability or for the purpose of inquiring into any offense connected with the administration or enforcement of the internal revenue laws concerning the person identified above for the periods shown.

Please see attached riders (2).

Do not write in this space

Business address and telephone number of IRS officer before whom you are to appear:

290 Broadway, 6th Floor, Group 1408 - RL, New York NY 10007 Business Phone: 212-436-1258

Place and time for appearance at in lieu of personal appearance please mail the requested documents to the business address listed above

on the 16th day of May 2014 (year) at 9:00 o'clock a m.

Issued under authority of the Internal Revenue Code this 23rd day of April, 2014 (year).

IRS

Department of the Treasury
Internal Revenue Service

www.irs.gov

Form 2039 (Rev. 10-2010)
Catalog Number 21405J

Randy L. Lucero Digitally signed by Randy L Lucero DN: cn=Randy L Lucero, o, ou=SBSE, email=Randy.L.Lucero@irs.gov, c=US Date: 2014.03.17 16:48:10 -04'00'

Signature of issuing officer

Internal Revenue Agent

Title

NNBNB Digitally signed by NNBNB DN: cn=NNBNB, email=Darlene.Jones@irs.gov Date: 2014.04.02 15:05:17 -04'00'

Signature of approving officer *(if applicable)*

Acting Supv. Internal Revenue Agent

Title

Part C — to be given to noticee

ATTACHMENT TO SUMMONS ISSUED TO: Chase Bank NA

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ALL OPEN AND CLOSED ACCOUNTS FOR THE PERIOD:
December 31, 2008 through January 31, 2013

All records pertaining to the following individuals and business entities whether held jointly or severally or as trustee or fiduciary as well as custodian, executor or guardian as well as any other entity in which these individuals or entities may have a financial interest:

1. Jaynie M. Baker

To include all accounts in which these individuals had signatory authority and/or the right of withdrawal.

These records should include but are not limited to:

1. PRIVATE BANKING: All agreements, contracts, records, books, ledgers, and other documents relating to all of the "Private Banking" agreements and relationships.
2. SAVINGS ACCOUNT RECORDS: Including signature cards, ledger cards or records reflecting dates and amounts of deposits, withdrawals, interest, debit and credit memos, deposit slips, checks deposited, withdrawal slips, and checks issued for withdrawals, Forms 1099 issued.
3. CHECKING ACCOUNT RECORDS: Including signature cards, bank statements, deposit slips, checks deposited, checks drawn on the account, records pertaining to all debit and credit memos, Forms 1099 issued.
4. LOAN RECORDS: Including applications, financial statements, tax returns, loan collateral, credit and background investigations, loan agreements, notes or mortgages, settlement sheets, contracts, checks issued for loans, repayment records, including records revealing the date, amount and method of repayment (cash or check), checks used to repay loans and a record disclosing the total amount of discount or interest paid annually, records of any liens, loan correspondence files, and internal bank memoranda.
5. SAFE DEPOSIT BOX RECORDS: Including contracts, access records, and records of rental fees paid disclosing the date, amount, and method of payment (cash or check).
6. CERTIFICATES OF DEPOSIT AND MONEY MARKET CERTIFICATES: Including applications, actual instruments(s), records of purchases and redemption's, checks issued on redemption, checks used to purchase certificate, any correspondence and any Forms 1099 issued, records revealing the annual interest paid or accumulated, the dates of payment or date interest is earned, checks issued for interest payments.
7. U.S. TREASURY NOTES AND BILLS: All records of the purchase of U.S. Treasury Bills and Notes and/or subsequent sale of such bills or notes, including interest paid, checks used for the purchase or sale of the notes and bills, Forms 1099 issued, checks issued for interest payments, records of interest paid or accumulated revealing the dates and amount of interest paid or accumulated.
8. CREDIT CARD RECORDS: Including customer's application, signature card, credit or background investigations conducted, correspondence, monthly billing statements,

individual charge invoices, repayment records disclosing the dates, amounts and method (cash or check) of repayment, checks used to make repayments (front and back).

9. PURCHASES OF BANK CHECKS: Purchases of bank checks, cashier, teller, travelers' check records, or money order records including the check register, file copies of the checks or money orders, records revealing the date and source of payment for said checks or money orders.
10. OTHER RECORDS: Records of certified checks, wire transfers, or collections, letters of credit, bonds and securities purchased through your bank, savings bond transactions and investment accounts. Such records that disclose the date and amount of the transaction, method (cash or check) and source of payment, instruments and statements of transactions.
11. CORRESPONDENCE: All correspondence with the above persons/entities and/or with third parties regarding the above persons/entities. All memoranda, notes, files, or records relating to meetings or conversations concerning the above persons/entities.

At this time I am requesting only items 2 and 3 from the above list.

1. **For items 2 and 3 please only provide the following**
   a. **Account statements**
   b. **Copies of deposit slips and deposited items.**
   c. **Copies of checks cashed at the bank**
   d. **Details on wire transfers in and out.**
   e. **Signature cards**

Please mail the items as they become available.

Compliance with this summons will be satisfied by mailing photocopies of the requested information to the specified address by the stated date. It is not anticipated that information gathering or transmittal will be a lengthy or costly process. If you anticipate delays of more than 30 days or costs exceeding $500.00, please contact this office in advance.

PLEASE CALL AGENT RANDY LUCERO FOR INSTRUCTIONS AT (212) 436-1258 UPON RECEIPT OF THIS REQUEST.

ATTACHMENT TO SUMMONS/SUBPEONA

Issued to: Chase Home Lending
In the matter of: Jaynie M. Baker
Address: 22 N. 6th ST Apt. 24k, Brooklyn NY 11211
Periods: Years ended December 31, 2011, December 31, 2012
December 31, 2013

For the periods specified above, furnish all books, papers, records, or other data in your custody or control pertaining to any real estate or other transaction to which the above-named individual(s) was a party, including but not limited to:

1. Contracts of Sale, purchase and sales agreements, receipts issued for down payments, deposits, or other exchange of funds, copies of any checks (front and back), and duplicate deposit slips showing your deposit of these funds.

2. Records of mortgage and loan history including applications, agreements, financial statements, loan contracts, notes, checks issued for loans, repayment records showing the dates, amounts and methods of repayment (cash or check), the annual amount of interest paid, duplicate deposit slips showing your deposits of any repayments, and records of any correspondence concerning these loans.

3. Tax records including property taxes paid, receipts, personal taxes paid, FHA tax credits, exemption receipts, other state and local taxes and/or intangible tax.

4. All records connected with purchases and/or sales of real estate, including deeds of trust and other records showing the location of the property, dates of the real estate closing, the names of the parties to the real estate transactions, and the closing sheet showing the source and distribution of the proceeds from the sale and/or purchase, including the distribution checks.

5. Closing Statements and other records showing the closing date and amounts associated with title searches and opinions, service charges, sales commissions, attorneys fees, escrow fees, insurance costs, loans, rent, and any prorated expenses.

6. Guarantees on any equipment or improvements, including but not limited to bills of sale for refrigerator, heaters, air conditions, and other appliances, equipment, and fixtures.

7. Rental information, lists of tenants and other records relating to payments received and/or disbursed by you, as escrow agent or otherwise, in connection with transactions.

8. All correspondence, power of attorney, or other documents relating to the sale(s) or any other type of transactions involving real property.

Personal appearance is not necessary if the required records are received by mail by the date specified in the summons. A cover letter is required which outlines the information and records provided.

RECORD FORMAT: In addition to hard copies, records are requested in the form of magnetic media on either compact disk or 3 ½-inch diskette, whenever available. A record layout for the data is also requested. The record layout should specify the type of information provided and actual electronic file name. Each type of information should be recorded in a separate document; however, multiple dates may be included in the same file. The record layout should be placed in the same order as the information listed above.